Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 2023: www.tisplo.go.

| THE PLATES OF SUPERIOR | | | United | States Patent and Tradem Washington, D | | |
|---|--|---|---|--|--|--|
| U.S. APPLICATION NO. | | FIRST NAMED APPLICA | ANT | | | |
| 09/67482 | 4 | LORZ | Н | ATTY, DOCKET NO. | | |
| | | | | 514413-3848 INTERNATIONAL APPLICATION NO | | |
| WILLIAM F LAWRE | ENCE & HAUG | , | PCT/EP99/03156 | | | |
| 745 FIFTH AVENUE | = | | LA, FILING DATE | PRIORITY DATE | | |
| NEW YORK, NY 10 | 151 | | 07 MAY 99 | 08 MAY 98 | | |
| | | | | 00 MAT 90 | | |
| NOTIFICATION | N OF MISSING RE STATES DESIGNA | QUIREMENTS UN | DER 35 U.S.C. 371 I | 27 M AR 2001 N THE UNITED | | |
| 1. The following items | s have been submitted by t | he applicant or the IR to t | he United Status Datament | Tuestana | | |
| | Designated Office (3) CF | K 1.494) 🙀 an Elected | Office (37 CFR 1.495): | Trademark | | |
| Indication of Small Entity Status. | | | | | | |
| Copy of the international application. Translation of the inventors(s). | | | international application int | o English. | | |
| Copy of Article 19 amendments. Other: | | | ation of Article 19 amendments into English. | | | |
| Priority Do | cument. | | | | | |
| The Interna | tional Preliminary Examin | nation Report in English a | nd its Annexes, if any. | | | |
| Translation | of Annexes to the Interna | tional Preliminary Examir | nation Report into English. | | | |
| prior to 20 or 30 months | uested early processing un ragraph 3 below. The Bar from the priority date to National Fee. | avoid abandonment. | has not filed the following in opp of the international application. | dicated items and/or ication must be filed | | |
| | | | w in order to complete the re | | | |
| a. Translatio | on of the application into I | English. A processing fee | will be required if submitted | j | | |
| ialer in | ian the appropriate 20 or 3 | 0 months from the priorit | tr data | | | |
| 114126 | ation. | | d on the attached Notice of i | | | |
| b. Processin | g fee for providing the tra | nslation of the application | and/or the Annexes later that | in the | | |
| арргор | riate 20 of 30 months from | n the priority date (37 CB | D 1 402/6\ | | | |
| the app | incation (preferably by the | International application | FR 1.497(a) and (b), proper number and international fili priate 20 or 30 months from | | | |
| The cur | rent oath or declaration d | oes not comply with 37 C | FR 1.497(a) and (b) for the | | | |
| d. Surcharge | a on the attached Pt 17130 | 3/F(1/Q17 | appropriate 20 or 30 months | | | |
| 4. Additional claim fees | of\$ asa ⊏ | large entity small ent | ity, including any required a | multiple dependent | | |
| 4. Additional claim fees of \$ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875. | | | | | | |
| 5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920. | | | | | | |
| | FOR THE APPLICATION | ON. WHICHEVED IS I | T BE SUBMITTED WITH NTHS (where 37 CFR 1.49 ATER. FAILURE TO PR | | | |
| The time period set above 1.136(a). | may be extended by filing | a petition and fee for exte | ension of time under the pro- | visions of 37 CFR | | |
| | dments are cancelled since | e a translation was not pro | d no later than the time perior than 20 or 30 months from wided by the appropriate 20 | | | |
| Applicant is reminded that address given in the heading | any communication to the g and include the U.S. app | United States Patent and oblication no. shown above | Frademark Office must be m . (37 CFR 1.5) | ailed to the | | |
| A copy of this notice MUST be returned with this response. | | | | | | |
| Enclosed: PCT/DO/EC | Notic | ce of Defective Translation /DO/EO/920 | wun inis response. | | | |
| _ | _ | Wi | nston M. Alvarado | | | |
| FORM PCT/DO/EO/905 (March 2001) | | Telephone: 703-305-6421 | | | | |
| ٠ | | | | | | |

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

| U.S. APPLICATION NO. | FIRST NAMED ARRIVE | FIRST NAMED APPLICANT | | |
|---|---------------------|-------------------------------|------------------|--|
| | PIRST NAMED APPLICA | NI | ATTY, DOCKET NO. | |
| 09/674824 | LORZ | н | 514413-3848 | |
| | | INTERNATIONAL APPLICATION NO. | | |
| /ILLIAM F LAWRENCE ROMMER LAWRENCE & HAU: 45 FIFTH AVENUE | G | PCT | PCT/EP99/03156 | |
| EW YORK, NY 10151 | | I.A. FILING DA | TE PRIORITY DATE | |
| | | 07 MAY 9 | 9 08 MAY 98 | |

DATE MAILED: **27 MAR 2001**

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

| x | i i i i i i i i i i i i i i i i i i i | of 37 CFR 1.821-1.825. |
|--------------|---|--|
| لسا | This application does not contain, a "Sequence Listing | g" as a separate part of the |
| ত | disclosure on paper copy or compact disc, as required A copy of the "Sequence Listing" in computer readable | by 37 CFR 1.821(c). |
| ت د | required by 37 CFR 1.821(e). | le format has not been submitted as |
| . П | A copy of the "Sequence Listing" in computer readable | e form has been submitted. The |
| | content of the computer readable form, however, does | not comply with the manifestory |
| <u>.</u> ` ' | 37 CTR 1.022 and/OF 1.032, as indicated on the attach | ed marked-up copy of the "Raw |
| | Sequence Listing. | |
| | The computer readable form that has been filed with the | nis application has been found to be |
| | damaged and/or unreadable as indicated on the attache substitute computer readable form must be submitted a | d CRF Diskette Problem Report. A |
| | The paper copy of compact disc of the "Sequence Listi | ing" is not the same as the |
| | computer readable form of the "Sequence Listing" as a Other: | required by 37 CFR 1.821(e). |
| X X | NT MUST PROVIDE: An initial or substitute computer readable form (CRF) of An initial or substitute paper copy or compact disc of the amendment directing its entry into the specification. A statement that the contents of the paper or compact dare the same and, where applicable, include no new ma 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d). | ne "Sequence Listing," as well as an isse and the computer readable form |
| • | | • |
| CALL: | STIONS REGARDING COMPLIANCE WITH THESE | REQUIREMENTS, PLEASE |
| (70 | 03) 308-4216, for Rules interpretation, | |
| (70 (70 | 03) 308-4212, for CRF submission help, 03) 287-0200, for Patentin software help. | DOCKETED |
| | | |

Winston M. Alvarado
Telephone: 703-305-6421